

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

In re Application of: John C. Rueter  
For: Dynamic Adjustment of Capture-Management "Safety Margin"  
Serial No.: 10/761,473  
Filed: January 21, 2004



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10<sup>th</sup> day of May, 2005.

Signature

Sue McCoy

Printed Name

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Return Postcard

**FEE CALCULATION**

- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.

☐ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.

☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

Date

5/16/05

Daniel G. Chapik

Reg. No. 43,424

Telephone: (763) 514-3066

Customer No. 27581

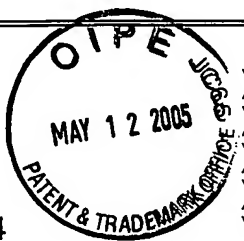
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): John C. Rueter

Serial No.: 10/761,473

Filed: January 21, 2004



Art Unit: 3762

Examiner:

Docket: P-11409.00

Conf No: 4388

For: DYNAMIC ADJUSTMENT OF CAPTURE-MANAGEMENT "SAFETY MARGIN"

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date: 5/10/05

By: 

Daniel G. Chapik

Reg. No. 43,424

Telephone: (763) 514-3066

Customer No. 27581

FORM PTO-1449

(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

ATTY. DOCKET NO.:

P-11409.00

SERIAL NO:

10/761,473

APPLICANT: John C. Rueter

FILING DATE:  
January 21, 2004GROUP:  
3762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE ISSUED	INVENTOR NAME	U.S. CLASS	U.S. SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	2004/0260352 A1	12/23/04	Rueter et al.	607	28	
	AB	6,456,882 B1	09/24/02	Schloss	607	28	
	AC	6,456,879 B1	09/24/02	Weinberg	607	11	
	AD	6,430,441 B1	08/06/02	Levine	607	28	
	AE	6,317,633 B1	11/13/01	Jorgenson et al.	607	28	
	AF	6,067,472 A	05/23/00	Vonk et al.	607	28	
	AG	6,061,594 A	05/09/00	Zhu et al.	607	28	
	AH	5,991,656 A	11/23/99	Olson et al.	607	4	
	AI	5,755,736 A	05/26/98	Gillberg et al.	607	4	
	AJ	5,741,311 A	04/21/98	McVenes et al.	607	28	
	AK	5,713,933 A	02/03/98	Condie et al.	607	28	
	AL	5,601,615 A	02/11/97	Markowitz et al.	607	28	
	AM	5,545,186 A	08/13/96	Olson et al.	607	14	
	AN	5,507,782 A	04/16/96	Kieval et al.	607	9	
	AO	5,318,594 A	06/07/94	Limousin et al.	607	9	
	AP	5,201,865 A	04/13/93	Kuehn	128	419 PT	
	AQ	5,144,949	09/08/92	Olson	128	419 PG	
	AR	4,944,298	07/31/90	Sholder	128	419 PG	
	AS	4,932,406	06/12/90	Berkovits	128	419 PG	
	AT	4,880,005	11/14/89	Pless et al.	128	419 PG	
	AU	4,726,380	02/23/88	Vollman et al.	128	419 PG	

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

(Rev. 2-32)

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3762

	AV	4,830,006	05/16/89	Haluska et al.	128	419 PG	
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE PUBLIC- ATION	COUNTRY	INT. CLASS	INT. SUB- CLASS	TRANSLATION	
							YES	NO
	AW	EP 1 136 098 A2	26.09.2001	EP	A61N	1/37		
	AX	WO 2004/030748 A2	15.04.2004	WO	A61N	1/00		

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.